AML Search 4.0

360° COMPLIANCE

EU4MLD COMPLIANT

THE UK STANDARD IN CLIENT DUE DILIGENCE RISK ASSESSMENT

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360° Compliance

AML Search has been at the forefront of electronic AML Customer Due Diligence (CDD) for over 10 years. Our user-friendly, robust platforms have helped over 8,000 firms and 18,000 users reduce the time and cost of carrying out compliant CDD for over 1.6 million clients.

AML Search v4 represents a leap forwards in the streamlining and simplifying of a firms CDD procedures which dramatically reduces both the time and cost of Anti-Money Laundering regulatory compliance.

✔ Risk Assessments

Regulations make it mandatory for firms to carry out Risk Assessments on their Clients as a part of their Anti-Money Laundering Client Due Diligence, we recognise this is both a time consuming and expensive process that requires specialist training of your personnel.

AML Search v4 automates Customer Due Diligence Risk Assessments enabling your personnel to simply and quickly record Client data, hard-copy documentary evidence and carry out a Simplified or Enhanced Due Diligence Risk Assessment in a few minutes.

Our Risk Assessments include a wide range of independent postive and negative data sources to verify identity, screen for Financial Sanctions, Specially Designated Nationals and Politically Exposed Persons.

Risk Assessments are available for both individuals and organisations based in the UK and abroad.

⏻ Smart Technology

Its a simple, straightforward process to implement AML Search v4 within your firm.

You can opt to use our range of pre-defined Risk Assessments or easily define your own criteria - and our pay-as-you-use pricing model means there are no seat licenses or up front costs.

Our unique automated Risk Assessments mean that you no longer have to manually risk assess your clients, dramatically reducing the time, risk and cost of demonstrating your compliance whilst ensuring procedures are consistent firm wide - irrespective of the number of users, offices or sites.

👥 The CDD Record

AML Search v4 introduces a unified view of your CDD. Both Individual (Personal) and Organisation (Non-Personal) CDD records are contained in one fully searchable view.

Your CDD records contain Client Risk Assessments, Compliance Status, Client Data, Documentary Evidence, Relationships, On-going Monitoring and Audit Trail - making it easy to evidence your regulatory compliance.

! Regulatory Compliance

AML Search v4 has been designed to meet and exceed UK and International Anti-Money Laundering regulatory compliance requirements. Transparently demonstrate your compliance to auditors and regulators using our in-built client and firm wide compliance reports.

Decreasing regulatory risk, reducing false positives and increasing efficiency.

An organisation's AML procedures are one of its most scrutinised risk management processes. Government, institutions, trade associations and regulators recognise the need to integrate data and processes from security, fraud and compliance to form more efficient Customer Due Diligence procedures for financial crime risk management.

We provide an unmatched depth of real-time data in your AML program by incorporating a wide range of independent data sources for Personal and Non-Personal, Simplified and Enhanced Due Diligence.

🖼 Documentary Evidence

Our integral document management allows your users to add, manage and certify Client documents within the CDD Record.

Centralising your Client CDD documentation protects your Client from the risk of fraud or identity theft, whilst allowing your users full access to use documentation as a part of a CDD Risk Assessment.

All User access is monitored and audited, and documentation is monitored to ensure that it is both suitable and remains valid for Client Due Diligence.

⚤ Relationships

Quickly and easily define relationships between Clients, from spouse to family member or Director to Shareholder.

AML Search v4 makes it easy to further detect and identify risks and allows you to carry out conflict checking in real-time.

🔊 On-Going Due Diligence

Circumstances and data can change from day-to-day making it a difficult and complex process to continue to ensure your Client Risk Assessments are compliant.

AML Search v4 interactively monitors your Risk Assessed Clients to ensure they remain compliant, creating warnings and alerts when data or documentation adversely effects any of your Risk Assessments.

👁 Audit Data

Our clear, searchable audit data makes it a simple process to understand who did what - and when.

All AML Search Reports and Documents contain in-built audit information ensuring you have a full audit trail to the initiator of the Risk Assessment.

💼 Record Keeping

In order to demonstrate compliance firms are required to evidence their Client Due Diligence on a per Client and Firm wide basis as required by regulators.

The centralised approach taken by AML Search v4 makes this a simple process using our real-time Compliance Reports.

There are additional management responsibilities for regulated firms, including a maximum CDD data retention of 10 years. All AML Search v4 data and documentation is monitored, with notifications generated when data or documents expire and require secure deletion.

We provide a secure deletion of data and records, including Secure Deletion Certification.

🔒 Security

Security is our highest priority. As a User of AML Search v4 you will benefit from our ISO27001 compliant data security protocols, the advanced data center, network architecture and encryption architecture that we utilise in partnership with Amazon AWS.

AML Search v4 is deployed through Amazon AWS Cloud computing services, a service which meets the security requirements of the most security-sensitive organisations, including banking, financial services, military and government.

Contact our specialist team to arrange an on-site consultation, demonstration or webinar.

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